



































19. a. Have you ever been called to testify before, or otherwise been questioned, interviewed, deposed, or requested to take a polygraph exam by any governmental agency/organization, court, commission, committee, grand jury or investigative body (local, state, county, provincial, federal, national, etc.) in any jurisdiction other than in response to a traffic summons? **Not applicable to Supplier owners and key personnel**

Yes No

b. Have you ever been subpoenaed to appear or testify before a federal, national, state, county grand jury, or other criminal investigatory agency or body, or any board or commission, or any civil, criminal or administrative proceeding or hearing?

Yes No

If yes to either question, complete the following chart:

NAME AND ADDRESS OF COURT OR OTHER AGENCY/ORGANIZATION	NATURE OF PROCEEDING OR INVESTIGATION	WAS TESTIMONY GIVEN?	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION

20. Have you ever received a pardon, or has any government agency/organization agreed to dismiss, suspend or defer any criminal investigation or prosecution against you for any criminal offense?

Yes No

If yes, complete the following chart:

DATE OF PARDON, DISMISSAL, SUSPENSION, OR DEFERAL	TYPE OF ACTION TAKEN	NAME AND ADDRESS OF GOVERNMENT AGENCY/ORGANIZATION GRANTING PARDON, DISMISSAL SUSPENSION OR DEFERAL

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING


Date: \_\_\_\_\_

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21. In the past ten (10) years, have you as an individual, member of any general partnership, business venture, sole proprietorship or closely held corporation, which you were associated with as an owner, director, or officer ever been a party to a lawsuit, as either a plaintiff or defendant or an arbitration as either a claimant or defendant? (Include matrimonial matters, negligence matters, auto accident matters, contract matters, collection matters, debt matters, bankruptcies, etc.) ***Not applicable to Supplier owners and key personnel***

Yes  No

If yes, complete the following chart:

DATE FILED	NAME & ADDRESS OF COURT	DOCKET/CASE NUMBER	OTHER PARTIES TO SUIT	NATURE OF SUIT	DISPOSITION	DATE OF DISPOSITION
						

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING


Date: \_\_\_\_\_

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22. In the past ten years, have you been cited or charged with, or formally accused of, any violation of a statute, regulation or code of any local, state, county, municipal, provincial, federal or national government other than a criminal, disorderly persons, petty disorderly person or motor vehicle violation?

If yes, complete the following chart:

Yes  No

GOVERNMENTAL AGENCY/ORGANIZATION	NATURE OF CHARGE	DATE	DISPOSITION
			

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING

Date: \_\_\_\_\_


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**FINANCIAL DATA**

23. Have any individual, local, city, county, provincial, state, Federal, national, or any other governmental liens/debts been filed against you as an individual, sole proprietor, member of a partnership, or owner of a corporation in any jurisdiction? This includes ALL taxes payable for which you are obligated.

If yes, complete the following chart:

Yes  No

NATURE OF LIEN/DEBT/TAX	DATE FILED/RELEVANT AUTHORITY	WHERE FILED	CURRENT STATUS/AMOUNT DUE
			

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING

Date: \_\_\_\_\_

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24. Have you personally ever been adjudicated bankrupt or filed a petition for any type of bankruptcy, insolvency or liquidation under any bankruptcy or insolvency law in any jurisdiction?

If yes, complete the following chart:

Yes  No

DATE FILED	DOCKET/CASE NUMBER	NAME AND ADDRESS OF COURT	NAME AND ADDRESS OF TRUSTEE

25. In the past ten years, have you ever had any real property repossessed by a finance company in any jurisdiction?

If yes, complete the following chart:

Yes  No

DESCRIPTION OF PROPERTY	DATE REPOSSESSED	NAME AND ADDRESS OF COMPANY REPOSSESING PROPERTY	REASON FOR REPOSESSION	

Initials: \_\_\_\_\_

Date: \_\_\_\_\_

26. In the last ten years, have you as an individual, member of a partnership, or owner, director or officer of a corporation ever been in a business entity that has been in liquidation, receivership or been placed under some form of governmental administration or monitoring?

If yes, complete the following chart:

Yes  No

NAME AND ADDRESS OF BUSINESS ENTITY	YOUR RELATIONSHIP TO BUSINESS ENTITY	DATE PLACED UNDER LIQUIDATION, RECEIVERSHIP, ETC.	REASON PLACED UNDER LIQUIDATION, RECEIVERSHIP, ETC.	PRESENT STATUS

27. Have your wages, earnings, or other income been subject to garnishment, attachment, charging order, voluntary wage execution or the like during the past ten year period?

If yes, complete the following chart:

Yes  No

DATE FILED	DOCKET/CASE NUMBER	NAME AND ADDRESS OF COURT	NATURE OF OBLIGATION	AMOUNT OF OBLIGATION	NAME AND ADDRESS OF HOLDER OF OBLIGATION

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING

Date: \_\_\_\_\_

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# STATEMENT OF TRUTH

STATE/PROVINCE OF \_\_\_\_\_:

SS:

COUNTY/DISTRICT OF \_\_\_\_\_:

\_\_\_\_\_, being duly sworn according to law deposes and says:

1. I am the individual who is submitting this personal history disclosure form.
2. I personally supplied the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this personal history disclosure form.
4. Any document accompanying this Office of Lottery & Gaming Personal History Disclosure Form that is not an original document is a true copy of the original document.
5. I swear (or affirm) that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATED: \_\_\_\_\_ (LEGAL SIGNATURE)

*(Signature of Applicant)*

Subscribed and sworn to  
before me this \_\_\_\_\_ day

of \_\_\_\_\_

\_\_\_\_\_  
NOTARY PUBLIC, JUSTICE OF THE PEACE/  
COMMISSIONER FOR DECLARATIONS OR OTHER PERSON  
AUTHORIZED TO TAKE DECLARATIONS

\_\_\_\_\_  
STATE/PROVINCE, COUNTRY

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING Date: \_\_\_\_\_

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**Authorization for Fingerprinting, Background Check,  
Credit Check and Release of Information**

I am an applicant for a sports wagering license in the District of Columbia.

The District of Columbia Office of Lottery and Gaming, including its officers, employees, agents, and vendors (collectively "the OLG"), is required by law to conduct an investigation of an applicant for a sports wagering license. That investigation requires the OLG to collect and evaluate information about me in order to determine my eligibility for a sports wagering license.

By executing this Authorization for Finger Printing, Background Check, Credit Check and Release of Information, I expressly authorize any local, State or federal governmental body; commercial or business enterprise; non-profit entity; individual; or any other public or private entity, to release to the OLG any and all information about me that the OLG requests. The requested information may be released in written, verbal, electronic, or any other form.

By executing this Authorization for Fingerprinting, Background Check, Credit Check and Release of Information, I expressly authorize the OLG to obtain a credit report, and personal and business records, which may assist the OLG in determining my eligibility for a sports wagering license.

Each applicant for a sports wagering license (including each owner, officer, executive, key personnel, employee or agent having power to significantly exercise influence in business operations of an applicant) shall be subject to District, state, and national criminal history background checks. Such background checks may include fingerprinting of the applicant to be used for a national criminal records check by the Metropolitan Police Department and the Federal Bureau of Investigation. By executing this Authorization for Fingerprinting, Background Check, Credit Check and Release of Information, I expressly consent to such fingerprinting, if necessary, and to the release of information about me by the Metropolitan Police Department and the Federal Bureau of Investigation to the OLG.

I expressly waive, release, discharge and forever hold harmless and agree to indemnify any local, State or federal government body; commercial or business enterprise; non-profit entity; individual; or any other public or private entity that releases information to the OLG under the authority of this Authorization.

I am aware that false or misleading statements or failure to provide required information may be cause for denial of my application.

I have agreed to submit this Authorization for Finger Printing, Background Check, Credit Check and Release of Information electronically. I understand that an electronic signature has the same legal effect and can be enforced in the same way as a written signature.

**Pursuant to D.C. Official Code § 22-2405, a person commits the offense of making false statements if that person willfully makes a false statement that is in fact material, in writing, directly or indirectly, to any instrumentality of the District of Columbia government, under circumstances in which the statement could reasonably be expected to be relied upon as true. Any person convicted of making false statements shall be fined not more than the amount set forth in D.C. Official Code § 22-3571.01 or imprisoned for not more than 180 days, or both.**

Initials: \_\_\_\_\_

OFFICE OF LOTTERY & GAMING Date: \_\_\_\_\_

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By signing this application, I certify that my answers are correct and complete to the best of my knowledge.

I also certify that:

- I understand the questions and statements on this application.
- I have read and understand the legal information contained on this application.
- I understand and agree that the Office of Lottery and Gaming may contact other persons or organizations to obtain needed proof of my eligibility for a sports wagering or lottery license.

\_\_\_\_\_  
**PRINT NAME**

\_\_\_\_\_  
**SIGNATURE** **DATE**

